

## NEWS FROM MIRICK O'CONNELL'S GOVERNMENT INVESTIGATIONS RESPONSE AND COMPLIANCE GROUP

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### Spotlight Attorneys:



Ken Pickering



Amanda Marie Baer

### Government Investigation Response and Compliance Group:

#### Attorneys

Amanda Marie Baer  
Kenneth Pickering

### PREVENTING DISCOVERY OF ACCOUNTANT'S MATERIALS AND COMMUNICATION

Whether a document request from the SEC, or FBI agents at your door, responding to a government investigation can be fraught with pitfalls. Our experienced Government Investigations Response and Compliance attorneys can help you effectively respond to a government request or investigation.

### Successful Year for Mirick O'Connell's Government Investigations Response and Compliance Attorneys

Attorneys in the firm's Government Investigations Response and Compliance Group routinely represent clients in responding to state and federal investigations into a wide range of issues, including securities fraud, computer crimes, healthcare fraud, expert witness services, theft of intellectual property, and federal whistleblower claims.

Our attorneys conduct internal investigations of corporate entities in matters involving bank fraud, healthcare fraud, theft and embezzlement. In addition, members of the firm's Government Investigations Response and Compliance Group regularly work with the FBI, the U.S. Attorney's Office, the Massachusetts District Attorneys' Offices, and state and local enforcement authorities to relay claims of theft, embezzlement, and fraud for criminal prosecution.

### 2012 Representative Matters:

Successfully managed responses to multiple investigations by the SEC's Boston and New York Regional Offices involving allegations of insider trading, stock issuance, and reimbursement issues.

Received a full dismissal by both the U.S. Department of Justice and the relator in a whistleblower case involving allegations of healthcare fraud and violation of the False Claims Act, Anti-Kickback Statute, and Stark Act.

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*This client alert is intended to inform you of developments in the law and to provide information of general interest. It is not intended to constitute legal advice regarding a client's specific legal issues and should not be relied upon as such. This client alert may be considered advertising under the rules of the Massachusetts Supreme Judicial Court.*

Achieved a refusal to prosecute decision by the U.S. Attorney's Office following an FBI investigation into allegations of computer crimes and allegations of theft of intellectual property involving the design and manufacture of medical equipment.

**Recent Internal Investigations:**

Conducted extensive interviews and review of medical records pursuant to an internal corporate investigation undertaken in response to a healthcare fraud inquiry by the Office of Inspector General of the U.S. Department of Health and Human Services.

Carried out a facility review, analysis of documents, and interviews relating to allegations of the theft of several million dollars from a manufacturing facility. Relayed findings to state and local investigators, and the District Attorney's Office, for criminal prosecution.

Conducted an investigation and oversaw the analysis of accounting records involving allegations of bank fraud. Coordinated with and relayed findings to the FBI for criminal prosecution.

If you find yourself facing an investigation or inquiry by the government, Mirick O'Connell's Government Investigations Response and Compliance Team can guide you through the process.

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